

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Thomas J. Daley et al.
Filing Date: January 29, 2004
For: SYSTEM AND METHOD FOR AVOIDING TRANSACTION
COSTS ASSOCIATED WITH TRADING ORDERS

Mail Stop Patent Application
Commissioner of Patents
PO Box 1450
Alexandria, VA 22313-1450

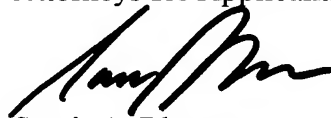
Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. No representation is made that a search has been made, that the references are material to the patentability of the present application, or that the references qualify as prior art.

Respectfully submitted,

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Date: January 29, 2004

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PTO-1449	Application No. Unknown	Applicant(s) Thomas J. Daley et al.	
	Docket Number 069547.0175	Group Art Unit Unknown	Filing Date January 29, 2004

**Information Disclosure Citation
In an Application**

U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A						
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	J							
	K							
	L							
	M							

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	N	Patent Pending Application entitled, "System and Method for Routing a Trading Order," by Thomas J. Daley et al., attorney docket no. 069547.0163, 64 pages including drawings	filing date January 29, 2004
	O	Patent Pending Application entitled, "System and Method for Routing a Trading Order According to Price," by Thomas J. Daley et al., attorney docket no. 069547.0173, 72 pages including drawings	filing date January 29, 2004
	P	Patent Pending Application entitled, "System and Method for Controlling the Disclosure of a Trading Order," by Thomas J. Daley et al., attorney docket no. 069547.0174, 66 pages including drawings	filing date January 29, 2004
	Q	Patent Pending Application entitled, "System and Method for Matching Trading Orders," by Thomas J. Daley et al., attorney docket no. 069547.0176, 74 pages including drawings	filing date January 29, 2004
	R		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE

DAL01:778382.1